IAP6 Rec'd PCT/PTO 07 JUL 2006

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV. 12-2004)

TRANSMITTAL LETTER TO THE UNITED STATES

DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A FILING LINDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

NEO-06-1159WO-US

**CONCERNING A FILING UNDER 35 U.S.C. 371** U.S. APPLICATION NO. (If known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLARATE 07 January 2004 (07.01.04) PCT/US2005/00418 07 January 2005 (07.01.2005) TITLE OF INVENTION LIPID COMPOSITIONS AND USE THEREOF APPLICANT(S) FOR DO/EO/US Moghis Ahmad, Saifuddin Sheikh, Shoukath Ali, Pei-Yu Chien, Haris Jamil, Jia-Ai Zhang, Sydney Ugwu, Zhi-Yi Zhang, Jinkang Wang, Shastri Bhadidipati, Zafeer Ahmad and Imran Ahmad Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. E This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 C.F.R. 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information:

## AP20 Rec'd PCT/PTO 07 JUL 2006

21. The following fees are submitted:  (E) a) Basic national fee	U.S. APPLICATIO	0 N NO. (if known, see	42.4		ONAL APPLICATION N 005/000418	10.		ATTORNEY'S DOCKET N NEO-06-1159WO-U	
B b) Examination fee	21. The following fees are submitted:								
B b) Examination fee								m 200.00	
B c) Search fee	■ a) Basic national fee							\$ 300.00	+
TOTAL OF ABOVE CALCULATIONS = \$1,000.00 \$1,000								\$ 200.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.  Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number)  - 100 =   750 =   x	☑ c) Search fee							\$ 500.00	
computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.  Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number)  -100 =   550 =   x   \$350.00   \$    Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority day (37 CFR 1.492(e)).  CLAIMS NUMBER FILED NUMBER EXTRA RATE  TOTAL Claims   2.5 - 20 =   5   x   \$550.00   \$250.00    Independent claims   2 3 =   0   x   \$200.00   \$    MULTIPLE DEPENDENT CLAIM(S) (if applicable)   TOTAL OF ABOVE CALCULATIONS   \$1,380.00    Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 172.  SUBTOTAL   \$690.00    Pro-gassing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  TOTAL NATIONAL FEE   \$690.00    Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property   \$800.00    TOTAL FEES ENCLOSED   \$690.00    TOTAL FEES ENCLOSED   \$690.00    Amount to be \$charged:  A Check in the amount of \$690.00 to cover the above fees is enclosed.  A please charge my Deposit Account No. \$0.2719 in the amount of \$500.00 to cover the above fees is enclosed.  A please charge my Deposit Account No. \$0.2719 in the amount of \$500.00 to cover the above fees is enclosed.  C B The Commissioner is hereobyed unbrinzed to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \$0.2719 in the amount of \$500.00 to credit card information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a perfition to revive (37 CFR 1.3) (4) or (b)) must be filed and granted to res								\$1,000.00	
Total Sheets   Extra Sheets   Number of each additional 50 or fraction thereof (round up to a whole number)   x \$250.00   S    -100 =   550 =   S    -100 =   Standard   Sta	computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets								
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority days (37 CFR 1.492(e)).  CLAIMS   NUMBER FILED   NUMBER EXTRA   RATE    Total Claims   25 - 20 =		otal Sheets Extra Sheets Number of each additional 50 or fraction Rate							
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State   Stat								, 	<del>                                     </del>
CLAIMS NUMBER FILED NUMBER EXTRA RATE  Total Claims 25 - 20 = 5 x \$50.00 5250.00  Independent claims 2 - 3 = 0 x \$200.00 \$  MULTIPLE DEPENDENT CLAIM(S) (if applicable)  TOTAL OF ABOVE CALCULATIONS = \$1,380.00  Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. \$690.00  Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. \$690.00  Prospessing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed 5 priority date (37 CFR 1.492(f)).  TOTAL NATIONAL FEE = \$690.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property								\$130.00	
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Independent claims								\$250.00	
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TOTAL FEES ENCLOSED = \$690.00  Amount to be refunded: Amount to be charged:  a. ② A check in the amount of \$690.00 to cover the above fees is enclosed. b. Please charge my Deposit Account No. 50-2719 in the amount of \$								¢	
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# 10/585424 AP20 Rec'd PCT/PTO 07 JUL 2006

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit

Customer No.: 035811

Docket No.: NEO-06-1159WO-US

Examiner

Serial No.

: Herewith

Filed PCT No.

: PCT/US2005/000418

PCT Filed Inventors

: January 7, 2005 : Moghis Ahmad

: Saifuddin Sheikh : Shoukath Ali

: Pei-Yu Chien : Haris Jamil : Jia-Ai Zhang : Sydney Ugwu Zhi-I Zhang : Jinkang Wang : Shastri Bhamidipati

Zafeer Ahmad

: Imran Ahmad

: LIPID COMPOSITIONS

: AND USE THEREOF

Confirmation No.:

Dated: July 7, 2006

### APPLICATION TRANSMITTAL LETTER

#### **MAIL STOP PCT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Title:

We submit herewith for filing in the U.S. Patent and Trademark Office Form PTO-1390, an Application Data Sheet (3 pages), a Preliminary Amendment and our check in the amount of \$690.00, comprising the official filing fee.

Respectfully submitted,

Paul Carango

Reg. No. 42,386

Attorney for Applicants

PC/rb

(215) 656-3320

Docket No.: NEO-06-1159WO-US

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit

Customer No.: 035811

Examiner

Serial No.

: Herewith

Filed PCT No.

: PCT/US2005/000418

PCT Filed

: January 7, 2005

Inventors

: Moghis Ahmad

: Saifuddin Sheikh : Shoukath Ali : Pei-Yu Chien : Haris Jamil : Jia-Ai Zhang

: Sydney Ugwu : Zhi-I Zhang Jinkang Wang : Shastri Bhamidipati

Zafeer Ahmad

: Imran Ahmad

Confirmation No.:

Dated: July 7, 2006

Title:

: LIPID COMPOSITIONS

: AND USE THEREOF

#### **MAIL STOP PCT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### 37 CFR 1.10 EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number:

EV 525095056 US

Date of Deposit:

July 7, 2006

**DESCRIPTION OF CONTENTS:** 

Postcard, \$690.00 Check, an Application Transmittal Letter, in duplicate, Form PTO-1390, in duplicate, an Application Data Sheet

(3 pages) and Preliminary Amendment.

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Rosemary Bell (Typed or printed name of person mailing paper or fee) (Signature of person mailing paper or fee)